## Scheduling

Multiprocessors

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#### Overview

- Process Scheduling
  - CPU Scheduling
  - CPU-I/O Burst Cycle
  - Preemptive and Non-Preemptive Scheduling
- 2 Algorithms
  - Scheduling Criteria
  - First-Come, First-Served (FCFS)
  - Shortest Job First (SJF)
  - Priority Scheduling
  - Round Robin (RR)
  - Multilevel Queue
  - Multilevel Feedback Queue
- 3 Multiprocessor Scheduling
  - Asymmetric Multiprocessor Scheduling
  - Symmetric Multiprocessor Scheduling





Multiprocessors

#### Literature

This lecture covers process scheduling and its algorithms. It gives an overview of Chapter 5 "Process Scheduling" in [SGG13a].



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#### **CPU Scheduling**

- CPU-scheduling is at the heart of multiprogramming.
- It concerns the short-term scheduler.
- It is used to schedule which process is allowed to execute its instructions on the CPU at any given time.
- If the operating system supports kernel threads it's kernel threads, not processes, being scheduled.



## **CPU Scheduling**

- The short-term scheduler selects which process to allocate the CPU to next.
- The dispatcher is the function which performs the context switch, switching to user mode, and restarting the process on the correct instruction.
- It's important that these functions execute quickly, as they might be executed every 10 to 100 milliseconds.



## CPU-I/O Burst Cycle

- Process execution can be divided into two classes.
  - ① CPU-bursts: The process executes on the CPU, actually executing instructions, e.g. performing computations.
  - ② I/O-bursts: The process spends its time reading from or writing to various devices, i.e. the process spends a lot of time waiting for the I/O-devices.



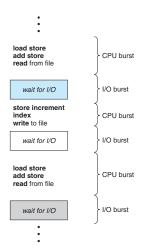
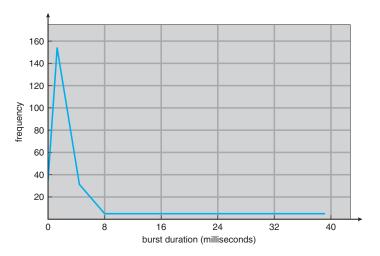


Figure: An illustration of the CPU-I/O burst cycle for a process. Image: [SGG13b].



### CPU-I/O Burst Cycle



 $\label{eq:Figure:Histogram of CPU-burst durations. Image: [SGG13b].}$ 





#### Preemptive and Non-Preemptive Scheduling

- Scheduling can be divided into the two classes preemptive and non-preemptive scheduling.
- Non-Preemptive or cooperative scheduling leaves it up to the processes to give up the processor, e.g. through blocking on I/O.
- Preemptive does abort one process in favour of another process, e.g. every process may have 100 milliseconds each in turn.



#### Preemptive and Non-Preemptive Scheduling

- Preemptive is most prominent.
- Requires special hardware though, e.g. timers.
- Synchronization issuse also arises, e.g. race conditions.



#### Overview

- Algorithms
  - Scheduling Criteria
  - First-Come, First-Served (FCFS)

Algorithms

- Shortest Job First (SJF)
- Priority Scheduling
- Round Robin (RR)
- Multilevel Queue
- Multilevel Feedback Queue





# Scheduling Criteria

- CPU utilization We want to keep the CPU as busy as we possibly can.
  - Throughput One measure of performance is how many processes complete per time unit.
- Turnaround time Yet another measure is how long it takes from jobs submission to job completion.
  - Waiting time The scheduling algorithm doesn't affect the time it takes for a process to execute instructions or do I/O, it only affects the waiting time.
  - Response time In an interactive system the turnaround time or throughput might not be relevant measures.

    Response time is more suitable as a process might produce some output even before it's done.



References



## First-Come, First-Served (FCFS)

- A very basic scheduling algorithm allocating the CPU to the processes in FIFO order.
- The downside of this algorithm is that average waiting time is usually quite long.



# First-Come, First-Served (FCFS)

Algorithms

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Proc	Burst-time
$P_0$	24
$P_1$	2
$P_2$	4

Table: An example of processes and their burst-time.



- This algorithm uses the length of the processes' CPU-bursts to determine the order of execution.
- The problem with this algorithm is that it's nearly impossible to implement, estimating the length of a CPU-burst is very hard to do accurately.
- However, it's probably optimal, giving the minimum average waiting time.
- One can do it by estimation, using the lengths of previous bursts to predict the length of the coming one.



Proc	Burst-time
$P_0$	6
$P_1$	8
$P_2$	7
$P_3$	3

Table: An example of processes and their burst-time.



#### Priority Scheduling

- SJF is a form of priority scheduling where the priority for a process is the inverse predicted length of a CPU-burst.
- Priority scheduling can also be generalized.
- Higher-priority processes are scheduled before lower-priority processes.
- Processes having the same priority is scheduled using FCFS.
- The major problem with all priority-based algorithms is indefinite blocking, or starvation.
- One solution to this is some kind of aging, older processes increase in priority.





Proc	Burst-time	Priority
$P_0$	10	3
$P_1$	1	1
$P_2$	2	4

Table: An example of processes and their burst-time and priorities.



## Round Robin (RR)

- Uses time-quantum, each process is allocated this much time on the CPU, then it's preempted.
- Average waiting-time is often long.
- With a time-quantum q and n processes, each process executes q time units and waits at most  $(n-1) \times q$  time units.
- The performance depends on the size of the time-quantum.



## Round Robin (RR)

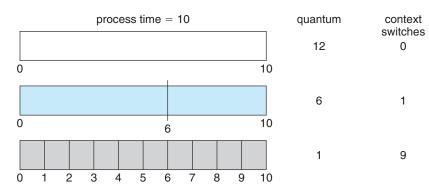


Figure: An illustration showing that smaller time-quantum increases the number of context switches. Image: [SGG13b].



Proc	Burst-time
$P_0$	24
$P_1$	2
$P_2$	4

Table: An example of processes and their burst-time.



#### Multilevel Queue

We have several ready queues.

Algorithms

- Each queue has its own scheduling algorithms.
- On top of that we have scheduling among queues.
- Processes in lower-priority queues are preempted when a process enters in a higher-priority queue.



#### Multilevel Queue

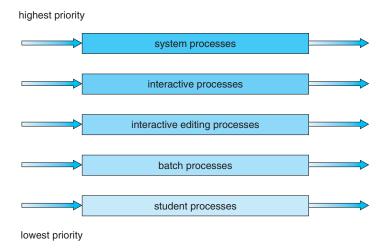


Figure: An example of a multilevel queue structure. Image: [SGG13b].



Algorithms

- An extension of previous algorithm in that a process may move between queues depending on its CPU-burst characteristic.
- E.g. a process using too much CPU-time will be lowered, a process just doing I/O may be higher.
- When the I/O-bound process becomes a CPU-bound process it will be lowered again.



#### Multilevel Feedback Queue

Algorithms

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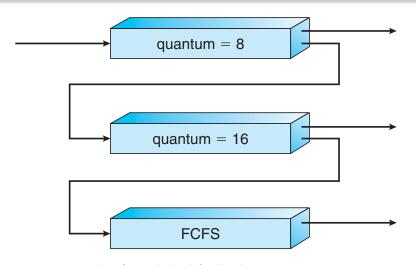


Figure: An example of a multilevel feedback queue system. Image: [SGG13b].



References

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#### Overview

Algorithms

- Multilevel Queue
- Multilevel Feedback Queue
- Multiprocessor Scheduling
  - Asymmetric Multiprocessor Scheduling
  - Symmetric Multiprocessor Scheduling



#### Asymmetric Multiprocessor Scheduling

 In this kind of setup we have one master server delegating work to the other processors.

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• I.e. one processor (the master) does I/O, scheduling, etc. whereas all others execute only user code.



#### Symmetric Multiprocessor Scheduling

- This is the more common approach.
- All processors are peers, executing everything.
- I.e. all processors does I/O etc.
- Every processor schedules its own execution; has perhaps an own ready queue, perhaps a joint one for all processors.

Multiprocessors



#### Symmetric Multiprocessor Scheduling

 One problem which arises with SMP is non-uniform memory access (NUMA), i.e. when different parts of memory is accessed with different speeds.

Multiprocessors

- Another problem is if a process runs on one processor and is later scheduled on another processor, then the cache is invalid on the previous processor and it must be filled on the new one.
- Hence it's bad to move processes between processors.
- This is called processor affinity.





### Symmetric Multiprocessor Scheduling

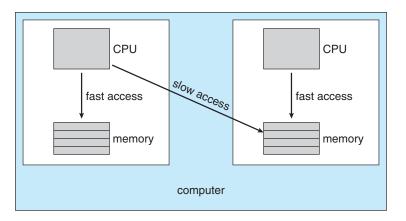


Figure: An illustration of the problem with NUMA. Image: [SGG13b].



 We must have some type of load balancing, otherwise all processes might end up on one processor with all other processors idle.

Multiprocessors

- We therefore have soft and hard affinity, to allow moves in some cases but not in others.
- Load balancing in SMP can be done with either push or pull migration.
- However, they are not mutually exclusive.
- Carefully considering load balancing and processor affinity is important when designing the operating system, as they counteract each other.





#### Referenser I

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Abraham Silberschatz, Peter Baer Galvin, and Greg Gagne. *Operating System Concepts*. 9th ed. International Student Version. Hoboken, N.J.: John Wiley & Sons Inc, 2013.



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